# Une notion de récurrence dans le modèle du tas de sable sur le réseau carré

Henri Derycke joint work with Yvan Le Borgne

LaBRI

JCB 2019, Février 11-13, Bordeaux



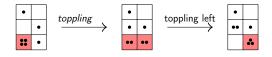




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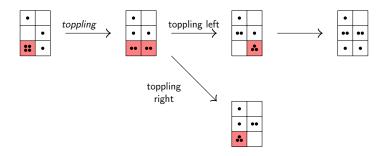
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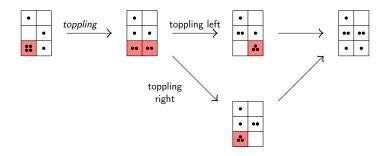
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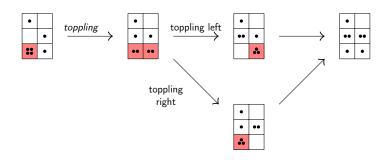
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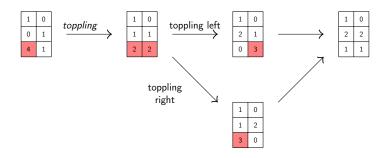


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The order of toppling does not change the result:  $\eta \to \eta + \sum_{v \in V} a_v \Delta^{(v)}$ .



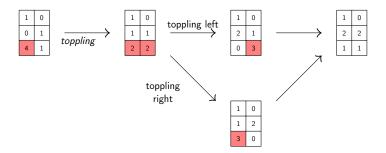
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### Stabilisation



Stabilisation: while a vertex is unstable, topple it.

How to stabilize (even with a large number of grains)?

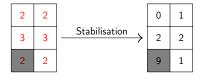


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We distinguish a vertex as the sink that won't topple.

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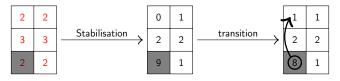
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#### Markov Chain

- States: stable configurations on G
- Transition: Add a particle from the sink to a vertex chosen uniformly and stabilize

Recurrent states are in the same connected component.

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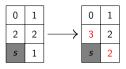
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#### Dhar operator

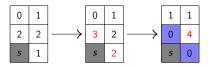
### Dhar operator

0	1
2	2
S	1

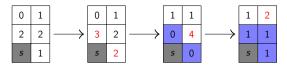
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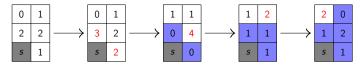
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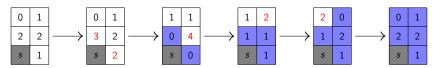
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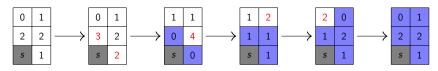


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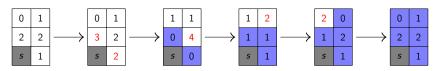
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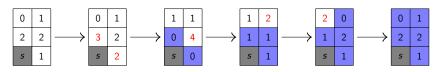


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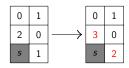


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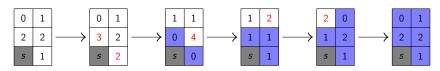


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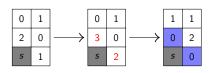


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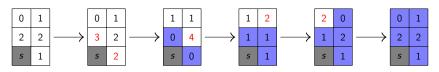


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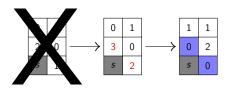


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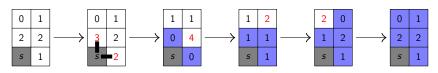


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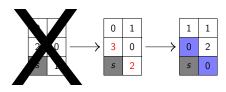


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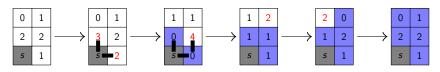


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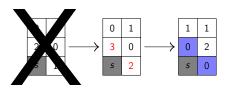


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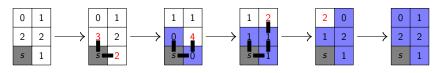


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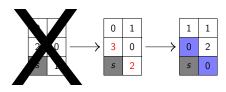


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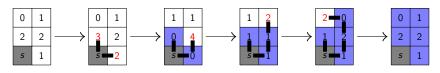


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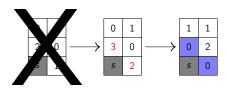


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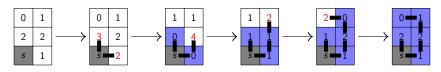


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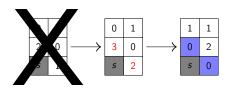


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## Theorem (Dhar, Majumdar 92)

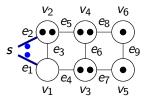
The recurrent configurations for a finite graph G and its spanning trees are in bijection.

Several bijections:

Dhar/Majumdar 92 (e.g. Haglund bounce's path for sorted recurrents on  $K_n$ 

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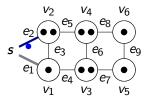
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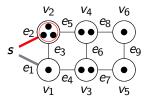
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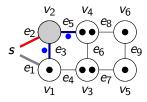
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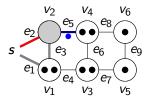
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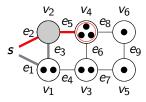
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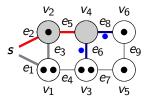
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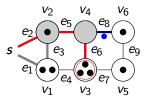
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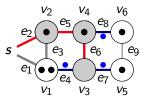
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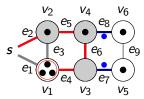
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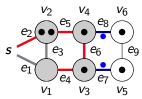
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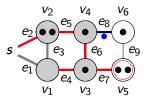
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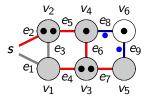
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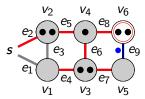
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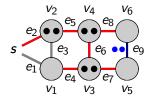
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Mark edges incident to the sink as pending edges. While there is a pending edge Get the closest pending edge to the sink Process the grain(s) on the edge If a vertex become unstable, topple it and mark its untreated incident edges as pending edges.

## Theorem (Dhar, Majumdar 92)

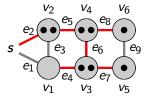
The recurrent configurations for a finite graph G and its spanning trees are in bijection.

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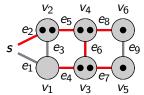
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In Dhar criterion, each edge captures the last grain that crosses it. For any recurrent configuration  $\eta$  on  $G = (V \cup \{s\}, E)$ ,

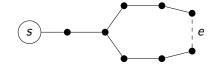
$$level(\eta) = \left(\sum_{v \in V} \eta(v)\right) + \deg(s) - |E|.$$

Let 
$$R_G(y) = \sum_{\eta \in \text{Rec}(G,s)} y^{level(\eta)}$$

#### Theorem (López 97)

For any graph  $G = (V \cup \{s\}, E)$ ,

$$R_G(y) = \text{Tutte}_G(1, y).$$



where  $\operatorname{Tutte}_G(1,y) = \sum_{T \in \Sigma(G)} y^{\operatorname{ext}(T)}$  counts on spanning trees the number of active external edges: external edges that are maximal in their fundamental cycle.

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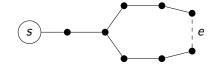
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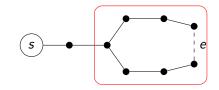
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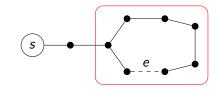
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# Tracking external activity while changing order on edges

With  $e_1 <_E e_2 <_E \cdots <_E e_{|E|}$  an order on the edges of E, an external edge is active if it is maximal for  $<_F$  in its fundamental cycle.



## Proposition

$$\mathrm{Tutte}_{\mathcal{G}}(1,y) = \sum_{\mathcal{T} \in \Sigma(\mathcal{G})} y^{\mathrm{ext}_{<_{\mathcal{E}}}(\mathcal{T})}$$
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## Proposition

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 does not depend on  $<_E$ 

 $\{e_i, e_i\}$  is a critical pair if

- e; is external
- e; is on e; fundamental cycle
- e; and e; are maximal on e; fundamental cycle

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- e; is external
- $\triangleright$   $e_i$  is on  $e_i$  fundamental cycle
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Let  $\tau_i$  exchanging  $e_i$  and  $e_{i+1}$  in  $<_E$ .

$$\Phi_i(T) = \begin{cases} T\Delta\{e_i, e_{i+1}\} & \text{if } \{e_i, e_{i+1}\} \text{ is a critical pair of } T \\ T & \text{otherwise} \end{cases}$$

**Lemma:** for all  $T \operatorname{ext}_{<_{\mathcal{F}}}(T) = \operatorname{ext}_{\tau:(<_{\mathcal{F}})}(\Phi_i(T))$ .

# Tutte Polynomial

Let a graph G = (V, E) and  $<_E$  an order on the edges of E.

$$\mathrm{Tutte}_G(x,y) = \sum_{T \in \Sigma(G)} x^{\mathrm{int}(T)} y^{\mathrm{ext}(T)}$$

Active external edge: maximal in its fundamental cycle.

Active internal edge: maximal in its co-cycle.





 $e_6$  is active with fundamental cycle  $(e_3, e_4, e_6)$ .  $e_5$  is active with co-cycle  $(e_1, e_2, e_5)$ .

For 
$$G = K_4$$
, Tutte $_G(x, y) = x^3 + y^3 + 3x^2 + 4xy + 3y^2 + 2x + 2y$  and  $T$  weights  $xy$ .

When G is planar,  $\operatorname{Tutte}_G(x,y) = \operatorname{Tutte}_{G^*}(y,x)$ . Then if planar and self-dual,  $\operatorname{Tutte}_G(x,y) = \operatorname{Tutte}_G(y,x)$ 

Eini+a	aranha
1 IIIILE	graphs

- Dhar Criterion
- ▷ Bijection between recurrent and spanning trees
- ▶ Invariant by edge exchange
- ▷ Symmetric for self-dual planar graphs

Finite graphs	Square lattice (biperiodicity)
> Stable configurations	
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spanning trees				
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 ▷ Symmetric for self-dual planar graphs

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Finite graphs	Square lattice (biperiodicity)				
Stable configurations	▷ Biperiodic stable configurations				
Dhar Criterion	▶ Weak Dhar Criterion (projective sink)				
▷ Bijection between recurrent and spanning trees	<ul> <li>Bijection recurrent and some span- ning forests of the torus</li> </ul>				

▶ Invariant by edge exchange

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▷ Bijection between recurrent and	▶ Bijection <i>recurrent</i> and some span-				
spanning trees	ning forests of the torus				
	▶ Restriction of Tutte polynomial				

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	variant by rotation of projective sink				

▷ Symmetric for self-dual planar graphs

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Symmetric for self-dual planar graphs	▶ Symmetric joint distribution of ex-			
	ternal/internal activities changing by			
	rotation			

## Some definition of recurrence for $\mathbb{Z}^2$

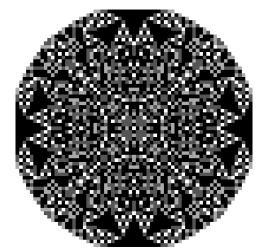
From wired uniform spanning forest [Gamlin, Jarai] with an anchor burning bijection.

Local description in probability [Priezzhev, Ruelle]

Sandpile identity:  $\lim_{n\to\infty} dhar^n(0^{\mathbb{Z}^2})$ ? [Paoletti, Caracciollo, Sportiello, Levine, Pegden, Smart...]

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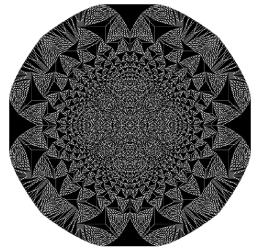
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Source: W.Pegden,  $n = 2^{13}$ 

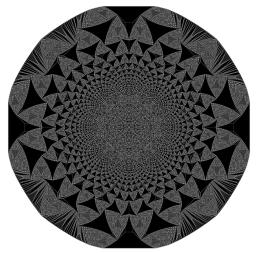


Source: W.Pegden,  $n = 2^{14}$ 



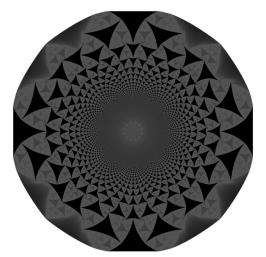
Source: W.Pegden,  $n = 2^{18}$ 

Fractal structure [Creutz, Bak, Tang 90, Ostojic 03, Dhar Sadhu 08]



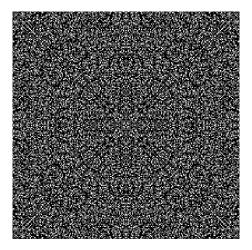
Source: W.Pegden,  $n = 2^{20}$ 

Fractal structure [Creutz, Bak, Tang 90, Ostojic 03, Dhar Sadhu 08]



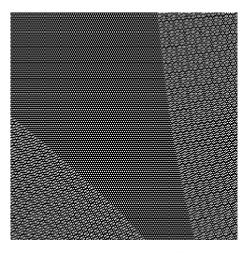
Source: W.Pegden,  $n = 2^{30}$ 

- ► Fractal structure [Creutz, Bak, Tang 90, Ostojic 03, Dhar Sadhu 08]
- ► Convergence in terms of density [Pegden, Smart 12]



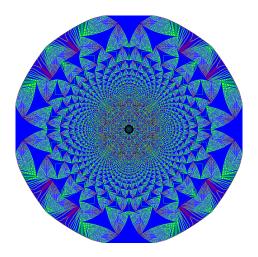
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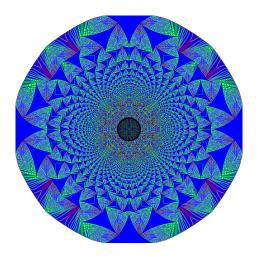
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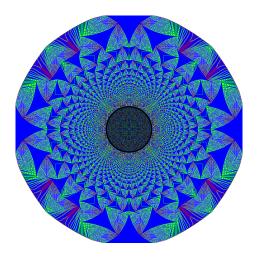


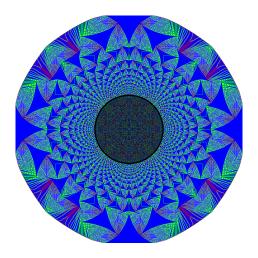
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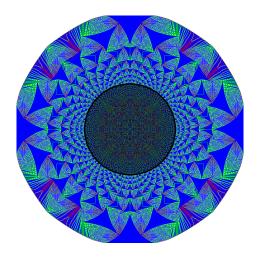
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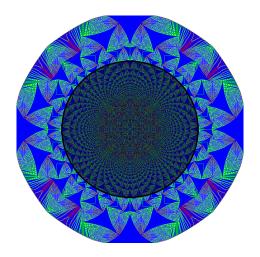


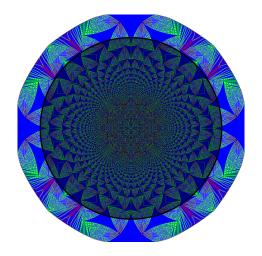


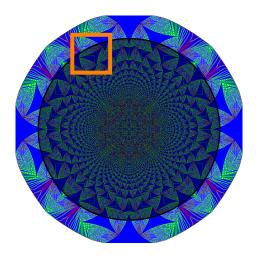


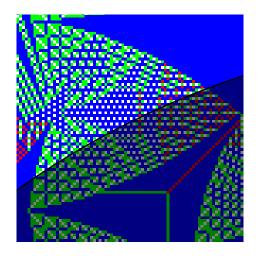


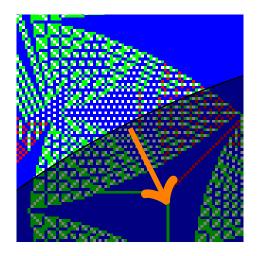


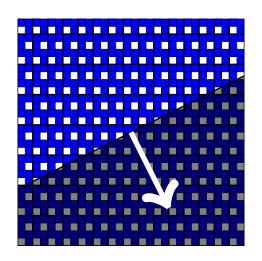






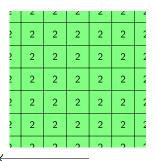


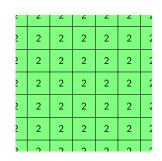




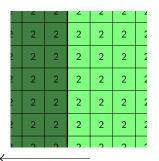
Pattern in periodic zones are invariant when toppling the sink  $\Rightarrow$  recurrent? Heuristic: locally, toppling the sink behave as the toppling of an half-plane

A stable configuration is recurrent for a direction  $\vec{s} \in \mathbf{Q}^2$  ( $\neq$  (0,0)) if after a forced toppling of any half-plane orthogonal to  $\vec{s}$ , all other vertices in the complement topple (once).





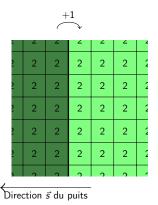
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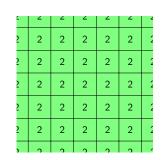


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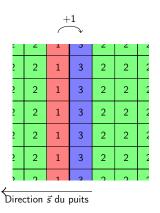
Direction  $\vec{s}$  du puits

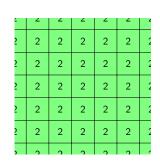
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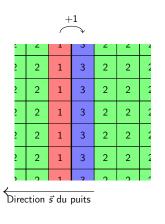


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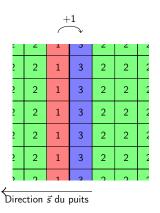
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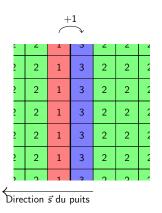
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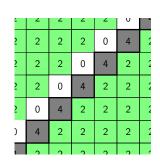
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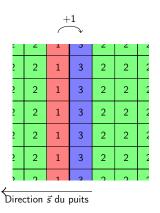
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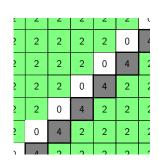
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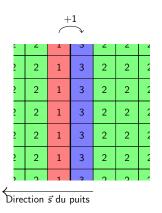


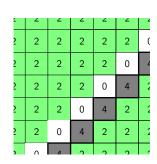
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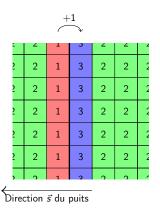


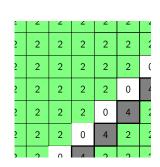
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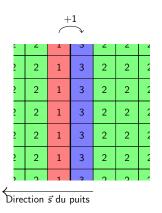


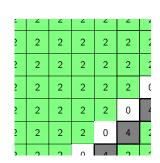
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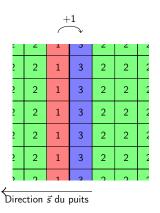


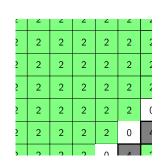
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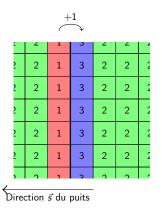


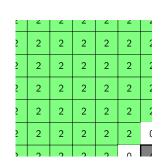
A stable configuration is recurrent for a direction  $\vec{s} \in \mathbf{Q}^2$  ( $\neq$  (0,0)) if after a forced toppling of any half-plane orthogonal to  $\vec{s}$ , all other vertices in the complement topple (once).



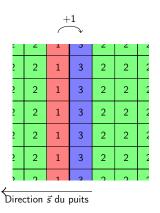


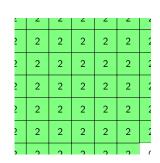
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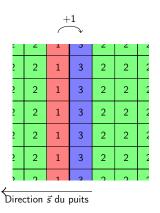


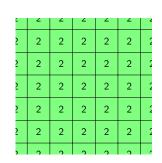
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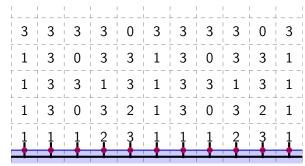
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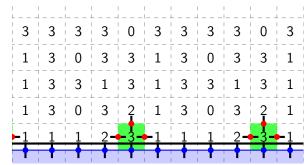


Demo

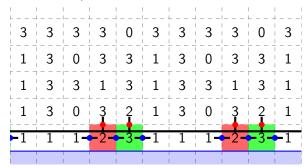
The Weak Dhar Criterion is decidable with in time bounded by a function of the dimension of the pattern and the direction  $\vec{s}$ .



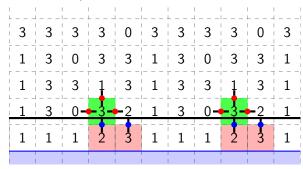
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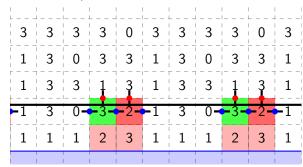
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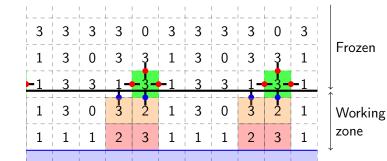
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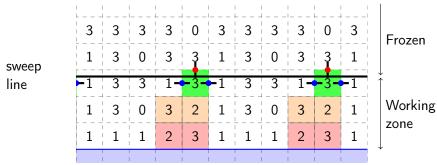
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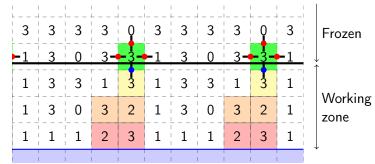


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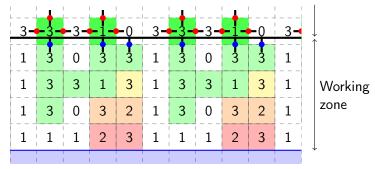


The Weak Dhar Criterion is decidable with in time bounded by a function of the dimension of the pattern and the direction  $\vec{s}$ .

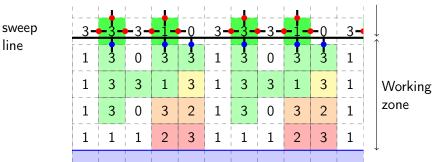
Frozen sweep line 3 3 Working 3 2 3 2 zone 2 3 2 3 1

The Weak Dhar Criterion is decidable with in time bounded by a function of the dimension of the pattern and the direction  $\vec{s}$ .

sweep line



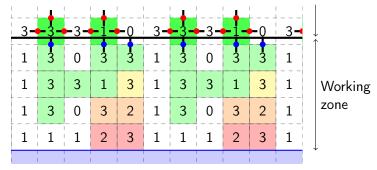
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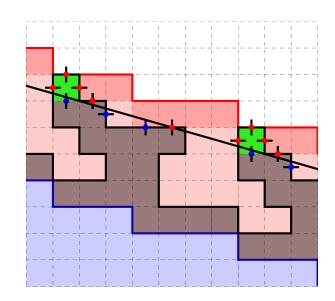
▶ Periodicity along the orthogonal of the sink

The Weak Dhar Criterion is decidable with in time bounded by a function of the dimension of the pattern and the direction  $\vec{s}$ .

sweep line



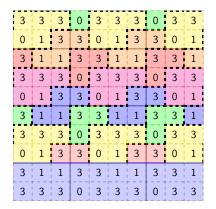
- Periodicity along the orthogonal of the sink
- ► Ultimately periodicity in the opposite direction of the sink, whatever the starting half-plane

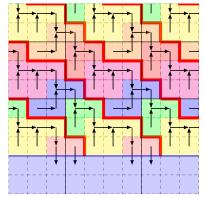


sweep line

3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
1 1	-	-	"	. •	-	_	~	. •	
3	3	<u> </u>	0	<u> </u>		<u> </u>	0	3	3
3	<u> </u>	<u> </u>				<u> </u>		!	3
	3	3	0	3	3	3	0	3	

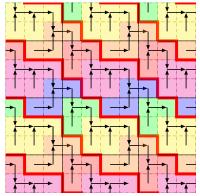
3	3	3	0	3	3	3	0	3	3
0				0	1 7				
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3		1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
2	3	3	0	2	3	3	0	3	3





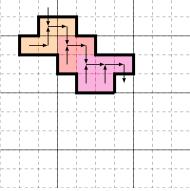
Periodic spanning forest rooted on the half-plane

3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
				3					_



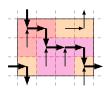
Biperiodic spanning forest with infinite paths directed towards the sink

3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3



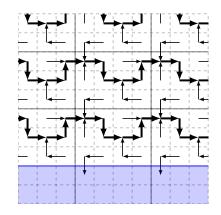
Biperiodic spanning forest with infinite paths directed towards the sink

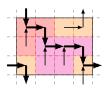
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3



Spanning forests of the torus rooted on non contractible cycles with slope (4,-3)

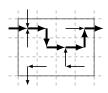
Recurrent configurations of period  $W \times H$  defined by weak Dhar criterion with projective sink in direction  $\vec{s}$  are in bijections with admissible forests of  $\mathcal{F}_{W \times H, \vec{s}}$ , hence excluding those of slope orthogonal to  $\vec{s}$ .



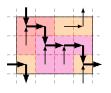


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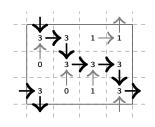


Spanning forest of the torus with slope (1,0) incompatible with the vertical direction



Spanning forests of the torus rooted on non contractible cycles with slope (4, -3)

Recurrent configurations of period  $W \times H$  defined by weak Dhar criterion with projective sink in direction  $\vec{s}$  are in bijections with admissible forests of  $\mathcal{F}_{W \times H, \vec{s}}$ , hence excluding those of slope orthogonal to  $\vec{s}$ .



Determinantal formula [Kenyon 17] for non contractible cycle rooted spanning forests (NCRSFs)

Refinement with the infinite path's slope

k · j	k · i								
ĸ · J	0	1	2	3	4				
0		31300528	541732	1528	1				
1	31300528	5427200	31232	4					
2	541732	31232	6						
3	1528	4							
4	1								

Table: Number of NCRSFs with k cycles of slope (i, j) on the torus  $T_{4,4}$ 

Computation for  $W, H \leq 9$ 

Placing the grains on the edges.



Placing the grains on the edges.



Orientation towards the sink

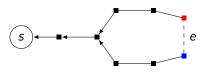
Placing the grains on the edges.



- Orientation towards the sink
- ► Internal: 1 grain to the father



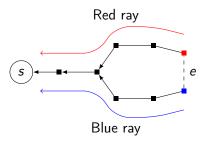
- Orientation towards the sink
- ▶ Internal: 1 grain to the father
- ► External: depends on the position of the maximal edge on the fundamental cycle



Placing the grains on the edges. —



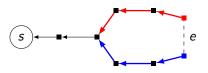
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Placing the grains on the edges. O



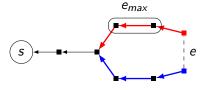
- Orientation towards the sink
- ▶ Internal: 1 grain to the father
- ► External: depends on the position of the maximal edge on the fundamental cycle



Placing the grains on the edges. O

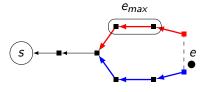


- Orientation towards the sink
- ▶ Internal: 1 grain to the father
- ► External: depends on the position of the maximal edge on the fundamental cycle



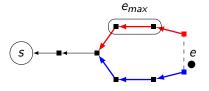


- Orientation towards the sink
- ▶ Internal: 1 grain to the father
- ► External: depends on the position of the maximal edge on the fundamental cycle



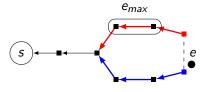


- Orientation towards the sink
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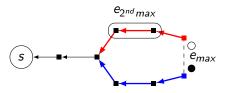


- Orientation towards the sink
- ► Internal: 1 grain to the father
- ► External: depends on the position of the maximal edge on the fundamental cycle
- ► External: on the other endpoint if active





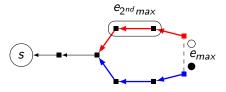
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Placing the grains on the edges.



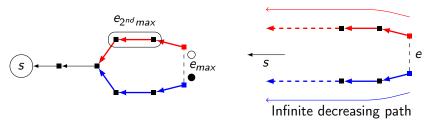
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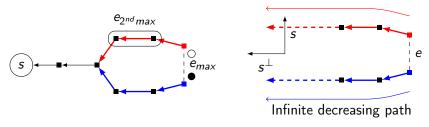


Cycles are directed such that they are globally decreasing. Periodicity  $\Rightarrow$  Maximal edge at finite distance

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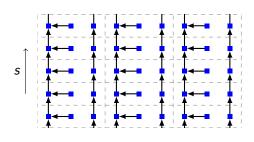
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$$\mathcal{T}_{W \times H, \boldsymbol{s}}(x, y) = \sum_{T \in \mathcal{F}_{W \times H}} x^{\mathrm{int}_{W \times H}(T)} y^{\mathrm{ext}_{W \times H}(T)}$$

 $e <_{\mathbf{S}} f$  if e is closer to the sink than f.

#### Restrictions

- $\triangleright$  On NCRSF:  $\mathcal{F}_{W \times H}$ .
- On the activity: on the rectangular fundamental domain  $W \times H$  consider exactly 2WH edges.

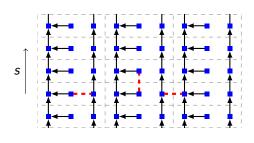


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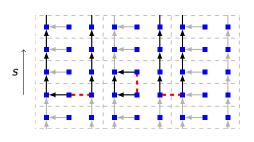


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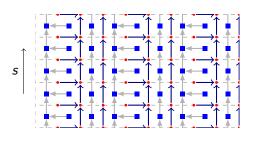


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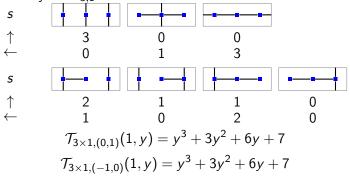
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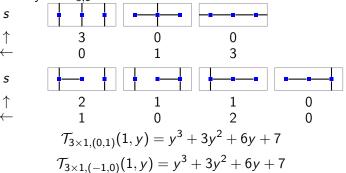


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External activity on  $\mathcal{F}_{3,1}$ :



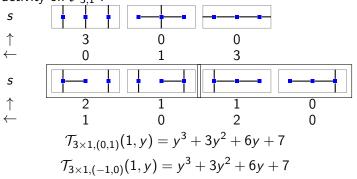
External activity on  $\mathcal{F}_{3,1}$ :



## Theorem (D., Le Borgne 2018)

For any directions s, s',  $\mathcal{T}_{W \times H, s}(1, y) = \mathcal{T}_{W \times H, s'}(1, y)$ .

External activity on  $\mathcal{F}_{3,1}$ :

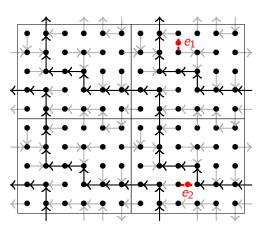


### Theorem (D., Le Borgne 2018)

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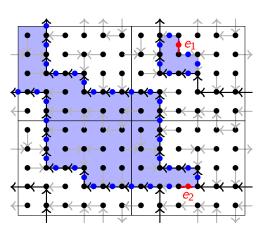
Since  $\mathbb{Z}^2$  is self-dual, we have:

$$\mathcal{T}_{3\times 1,(0,1)}(x,y) = x^3y^3 + 3xy^2 + 3x^2y + 3x + 3y + 4$$
  
$$\mathcal{T}_{3\times 1,(-1,0)}(x,y) = x^3y^3 + 3x^2 + 3y^2 + 3xy + 3x + 3y + 1$$





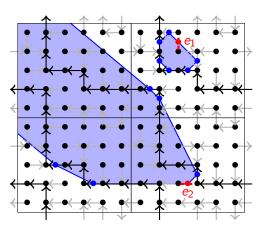
Direction of the sink





Direction of the sink

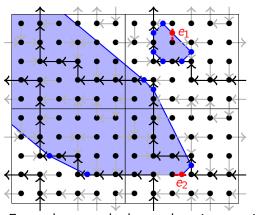
Convex hulls of fundamental cycles.





#### Direction of the sink

- Convex hulls of fundamental cycles.
- ► Active ⇒ Convex hull corner

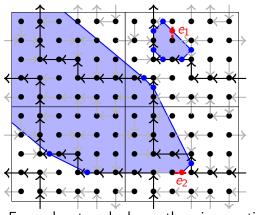




Direction of the sink

- Convex hulls of fundamental cycles.
- ▶ Active ⇒ Convex hull corner

For each external edge e, there is an activity sector  $[\theta_e, \theta'_e)$ .



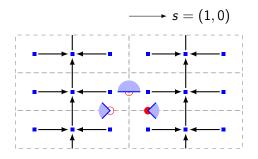


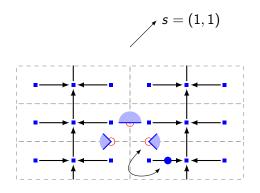
Direction of the sink

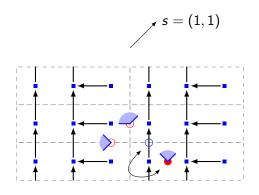
- Convex hulls of fundamental cycles.
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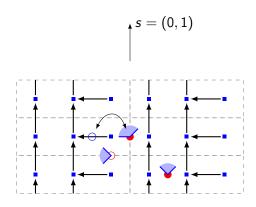
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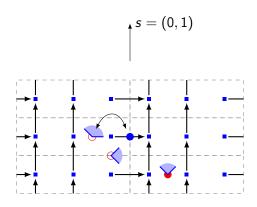
For any sector excluding all  $(\theta_e)_e$  and  $(\theta'_e)_e$ , the external activity is invariant.



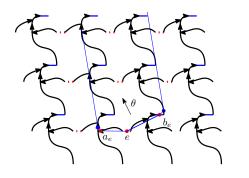


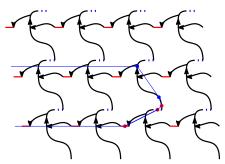






# Critical pair exchange: changing forest slope





# Checkpoint

Finite graphs	Square lattice (biperiodicity)				
Dhar Criterion	▶ Weak Dhar Criterion (projective				
Dilai Citterion	sink)				
▷ Bijection between recurrent and	▶ Bijection <i>recurrent</i> and some span-				
spanning trees	ning forests of the torus				
	▶ Restriction of Tutte polynomial				
▶ Invariant by edge exchange	▶ Distribution of external activity in-				
	variant by rotation of projective sink				
> Symmetric for self-dual planar	▶ Symmetric joint distribution of ex-				
	ternal/internal activities changing by				
graphs	rotation				

#### We have

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- ▶ Involution on NCRSFs for atomic rotation preserving this distribution

#### Perspectives

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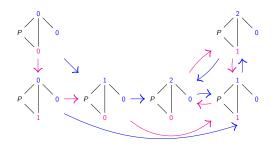
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  - Anything else

#### THANK YOU

# Markov Chain for $G = (V \cup \{S\}, E)$

- States: stable configurations on G
- Transition: Add a particle to a vertex chosen uniformly and stabilize

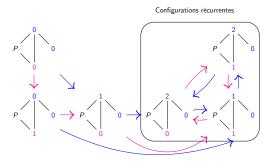


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- The stationary distribution is uniform on the recurrent configurations.

**Dhar Criterion** A stable configuration is recurrent if and only if adding a grain to each neighbor of the sink, and stabilizing result to the same configuration. (fixed point)

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# Close to [Pegden and Smart, 2017]

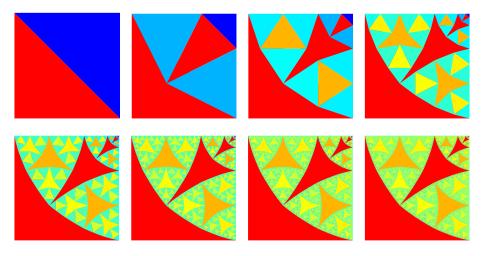
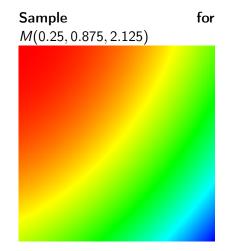


Figure: Each non blue zone is described by a quadratic form. [arxiv:1708.09432]

$$M(a,b,c) = \begin{pmatrix} c+a & b \\ b & c-a \end{pmatrix}$$

The number of topples is:

$$h(\mathbf{x}) = \left\lceil \frac{1}{2} \mathbf{x}^t M(a, b, c) \mathbf{x} \right\rceil$$
$$= (c+a)x^2 + 2bxy + (c-a)y^2$$



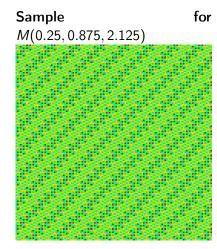
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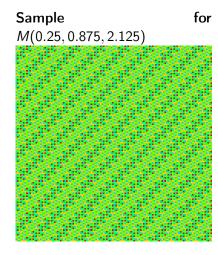
The number of topples is:

$$h(\mathbf{x}) = \left[\frac{1}{2}\mathbf{x}^t M(a, b, c)\mathbf{x}\right]$$
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▶ It's *periodic* for  $a, b, c \in \mathbb{Q}$ 



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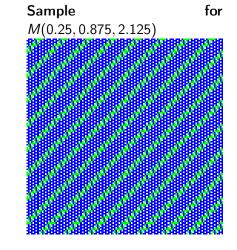
The number of topples is:

$$h(x) = \left\lceil \frac{1}{2} x^t M(a, b, c) x \right\rceil$$
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Then number of grains is

$$\Delta h(\mathbf{u}) = \sum_{\mathbf{v} \in \mathcal{V}} h(\mathbf{v}) - h(\mathbf{u}).$$

- ▶ It's *periodic* for  $a, b, c \in \mathbb{Q}$
- But it may be negative and/or unstable!



JCB 2019

# A definition of recurrence for periodic stable configurations

Pattern + two dimensional period  $(\vec{p_1}, \vec{p_2})$ .

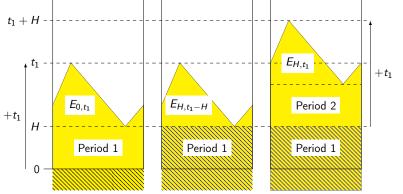
$$\forall \mathsf{x} \in \mathbb{Z}^2 u(\mathsf{x}) = u(\mathsf{x} + \vec{p_1}) = u(\mathsf{x} + \vec{p_2})$$

3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3 <i>p</i>	<sup>2</sup> 3	0	1	3	3	0	1
3	1	1	3	3	1	1	3	3	1
3	3	3	0	3	3	3	0	3	3
0	1	3	3	0	1	3	3	0	1
3	1	1	3	3 /	i 1	1	3	3	1
3	3	3	0	3	3	3	0	3	3

### Periodicity on -s

#### Lemme

If a periodic configuration is recurrent, then there exists a position  $y=t_1$  for which all vertices of the first period are toppled.



have  $Period1 \subset E_{0,t_1} \Rightarrow E_{0,t_1} = E_{H,t_1-H}$  and  $v \in E_{0,t_1} \Rightarrow v + H\vec{y} \in E_{H,t_1}$ . Then  $E_{H,t_1} \supset Period2$ .

We